



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : P. Ashton et al.                      Art Unit : Unknown  
Serial No. : 10/080,846                      Examiner : Unknown  
Filed : February 22, 2002  
Title : EVALUATING AN ORGANIZATION'S LEVEL OF SELF REPORTING

Commissioner for Patents  
Washington, D.C. 20231

DECLARATION OF DAVID PHILLIPS

1. I, David Phillips, am a partner at PricewaterhouseCoopers in London, England.
2. On or about 8 August 2002, I caused a copy of the U.S. patent application identified above to be mailed to Ms. Annette Watson at her place of employment. A copy of my letter is attached to this Declaration as Attachment A.
3. The enclosures with my letter to Ms. Watson included a complete copy of the patent specification, including the drawings and claims, as well as (1) a Combined Declaration and Power of Attorney and (2) an Assignment for Ms. Watson's signature.
4. I hereby declare that all statements made herein are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

October 15, 2002

Signature

Carol Tyrrell

Typed or Printed Name of Person Signing Certificate

Carol Tyrrell



# PRICEWATERHOUSECOOPERS

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## PRIVATE AND CONFIDENTIAL

Ms Annette Watson  
Ernst & Young  
360 Elizabeth Street  
Melbourne  
Victoria 3000  
Australia

8 August 2002

Dear Annette

Re: ValueReporter

As you may be aware we are in the process of patenting ValueReporter, and I enclose a copy of the patent application for your background information.

You have been identified as one of the PwC employees who worked on the original concept which led to the development of ValueReporter, and I therefore ask that you review the following documents which are enclosed with this letter:

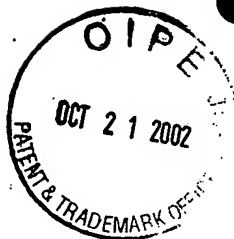
- (1) Combined Declaration and Power of Attorney; and
- (2) Assignment

These documents are consistent with Section 2 Paragraph 7 of the UK PwC Employee Manual regarding 'Intellectual Property Rights', which notes that all Intellectual Property Rights in works made/created by employees in the course of their employment by PwC belong to PwC, and require employees to execute any necessary documentation in relation to this issue; these requirements extend beyond the conclusion of employment. As a reminder, the attached Schedule sets out Section 2 Paragraph 7 in full.

Please would you sign the 'Combined Declaration and Power of Attorney' and the 'Assignment' documents and send them by DHL/recorded delivery direct to our patent agents, Fish & Richardson P.C., for the attention of Samuel Borodach at the following address:

PricewaterhouseCoopers is the registered trademark of the UK firm of Price Waterhouse and Coopers & Lybrand. The principal place of business of PricewaterhouseCoopers and its associated partnerships, and of Coopers & Lybrand, is 1 Embankment Place, London WC2N 6RH. The principal place of business of Price Waterhouse is One World Trade Center, 33 London Bridge Street, London SE1 1RY. None of the partners' names are available for inspection at these places.  
All partners in the various partnerships are authorized to conduct business on behalf of, and all undertake the services to clients by with, PricewaterhouseCoopers.  
PricewaterhouseCoopers is authorized by the Financial Services Authority for investment business in the UK.

ATTACHMENT A



## PRICEWATERHOUSECOOPERS

Samuel Borodach  
Fish & Richardson P.C.  
45 Rockefeller Plaza  
Suite 2800  
New York, New York 10111  
USA

When you have sent the signed documentation to our patent agents, please would you also email me to confirm that this has been done. My email address is [david.phillips@uk.pwcglobal.com](mailto:david.phillips@uk.pwcglobal.com).

If you have any questions, please feel free to me on tel no. +44 20 7804 5055 or Selina Reynolds in the London PwC Office of General Counsel on tel no. +44 20 7968 5319. Alternatively, if it would be more convenient to speak to someone in your time zone, you could contact David McGlinchey in the Sydney PwC Office of General Counsel on tel no. 2 8266 5249.

Kind regards

Yours sincerely

David Phillips

Enclosures

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that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Date: 9 OCT 2002

By: 

David Phillips